PhD in Physics
Northwestern University
Department of Physics and Astronomy
PhD Program Handbook
Welcome to the Department of Physics and Astronomy

We are delighted that you have joined us here in the Department of Physics and Astronomy, and we are looking forward to seeing how you mature and grow as a scientist. We expect you to work hard, to master fundamental physics topics, to learn key research skills, to help your classmates improve in their own research and to make the Department a place of high-quality scientific activity. We wish you all the best in your time here and are ready to help you in any way possible.

This document should answer most questions that you will have throughout your time here.

Sincerely,

[Signature]

Professor Michael Schmitt
Chair, Department of Physics and Astronomy Northwestern University

Program Overview
The goal of the Northwestern Physics PhD program is to provide opportunity, education, and mentoring to develop each PhD student into a productive scientist. This training has two general phases: education and scientific activity.

The first phase of the PhD is characterized by an emphasis on education. In graduate classes, students learn fundamentals and also develop more specialized knowledge. In fulfilling teaching duties, PhD students learn communication skills and how to effectively teach science. In the early stages of working with a research group, PhD students learn fundamentals of their chosen area.

The second phase of PhD training is characterized by an emphasis on scientific activity. During this phase, students become increasingly effective at working with their research groups to push knowledge forward in their area of study, at communicating their results to a broad audience through publications and oral presentations, and at becoming leaders of scientific thought within their area of expertise.

Officially, the transition from the education phase to the scientific activity phase is marking by passing of the Prospectus. In practice, the transition is gradual, with a timeline depending largely upon the student's level of undergraduate preparation and area of research. Some students contribute important work to their research groups even as early as the summer before their first year, and others begin their period of scientific productivity as late as the summer after their second year. All students are expected to have begun working with a research advisor by the summer of their first year.
The Physics Graduate Handbook supplements The Graduate School’s (TGS) policies and procedures. The following pages contain information about program-specific policies, procedures, and regulations. Students are subject to the regulations in effect at the time of matriculation. It is their responsibility as students to be aware of these and The Graduate School’s regulations.

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<th>Registration</th>
<th>Milestones to be Achieved</th>
<th>Forms to be Completed</th>
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<tbody>
<tr>
<td>Q1 - Fall</td>
<td>University Fellowship</td>
<td>3-4 units of coursework</td>
<td>All core courses completed by end of Q3.</td>
<td></td>
</tr>
<tr>
<td>Q2 - Winter</td>
<td></td>
<td>3-4 units of coursework</td>
<td>Some elective courses completed.</td>
<td></td>
</tr>
<tr>
<td>Q3 - Spring</td>
<td></td>
<td>3-4 units of coursework</td>
<td>Q4: Core course grade check. If core GPA &lt; 3.0, pass oral exam on physics fundamentals.</td>
<td></td>
</tr>
<tr>
<td>Q4 - Summer</td>
<td></td>
<td>3 units of Physics 590</td>
<td></td>
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<tr>
<th>Year Two</th>
<th>Typical Funding</th>
<th>Registration</th>
<th>Milestones to be Achieved</th>
<th>Forms to be Completed</th>
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<tbody>
<tr>
<td>Q5 - Fall</td>
<td>Q5-7: TA</td>
<td>3-4 units of coursework</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Q6 - Winter</td>
<td></td>
<td>3-4 units of coursework</td>
<td>Eleven courses must be completed by end of Q7.</td>
<td></td>
</tr>
<tr>
<td>Q7 - Spring</td>
<td></td>
<td>3-4 units of coursework</td>
<td></td>
<td></td>
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<tr>
<td>Q8 - Summer</td>
<td>Q8: RA</td>
<td>3 units of Physics 590</td>
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<th>Year Three</th>
<th>Typical Funding</th>
<th>Registration</th>
<th>Milestones to be Achieved</th>
<th>Forms to be Completed</th>
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<tr>
<td>Q9 - Fall</td>
<td>TGS 500 and/or coursework</td>
<td></td>
<td>Candidacy Exam passed by end of Q12.</td>
<td>If completed, PhD Prospectus form (by student via CAESAR)</td>
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<tr>
<td>Q10 - Winter</td>
<td>TGS 500 and/or coursework</td>
<td></td>
<td>Prospectus typically completed prior to Candidacy Exam and approved during Exam.</td>
<td></td>
</tr>
<tr>
<td>Q11 - Spring</td>
<td>RA</td>
<td>TGS 500 and/or coursework</td>
<td></td>
<td></td>
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<td>Q12 - Summer</td>
<td></td>
<td>TGS 500 and/or coursework</td>
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<tr>
<th>Year Four</th>
<th>Typical Funding</th>
<th>Registration</th>
<th>Milestones to be Achieved</th>
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<tbody>
<tr>
<td>Q13 - Fall</td>
<td>TGS 500 in addition to non-required coursework</td>
<td></td>
<td>Prospectus</td>
<td>PhD Prospectus form (by student via CAESAR)</td>
</tr>
<tr>
<td>Q14 - Winter</td>
<td>RA</td>
<td></td>
<td></td>
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**Q15 - Spring**

**Q16 - Summer**

**Year Five and Onward**

**Typical Funding**

**Registration**

**Milestones to be Achieved**

**Forms to be Completed**

**Q17 - Fall**

**Q18 - Winter**

**Q19 - Spring**

**Q20 - Summer**

**RA**

TGS 500 in addition to non-required coursework

Degree deadline - students have 9 years from matriculation to complete the PhD degree. Only rarely under extenuating circumstances will students be granted permission to continue beyond 9 years.

Students who are completing their degree must complete: (1) Application for Degree via TGS Forms in CAESAR; (2) Final Exam Form via TGS Forms in CAESAR to be approved by the program (3) Online submission of dissertation via UMI ProQuest

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*Students who do not complete degree requirements within nine years of initial registration in a doctoral program will not be considered in good academic standing, will be placed on probation, and will be subject to TGS 513 (advanced continuous registration).*

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**Calendars**

The most up-to-date Northwestern academic calendar can be found [here](#).

The Graduate School has a calendar [here](#) as well.

The holiday schedule can be found [here](#).

[Plan It Purple](#) is Northwestern’s calendar for all things educational, social and fun.

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**Advising**

**Identifying an Advisor**

Selecting a research advisor is the one of the most important choices a PhD student makes. Students should work with an advisor over the summer following their first year, and most students actually begin working with an advisor before that time. Research Advisors provide financial support in the form of Research Assistantships beginning in the Summer Quarter of the second year.
Changing Advisors
Most students remain with a single advisor for their entire PhD study, but it is not uncommon for students to change advisors, particularly in their first or second year. A faculty member is not obliged to keep a student in his/her group; rather continued group membership is a matter of mutual agreement. Should a student need to change advisors, he or she should consult the DGS.

Consequences of Not Having an Advisor
Students who have not found an advisor risk losing funding for their studies here. The department is committed to providing year-round financial support to all graduate students who are in good academic standing and are making satisfactory progress toward the PhD degree supervised by a faculty member in the department.

Failure to find an advisor’s support by the beginning of the third year may constitute failure to make satisfactory progress and may lead to dismissal from the program.

Candidacy/Prospectus Committee
The committee is selected at the time the Candidacy Exam is scheduled. The committee must be composed of at least three faculty members including the candidate’s advisor, with at least one of the members having a budgetary appointment in the Department of Physics and Astronomy. Many groups prefer to have a committee of four or even sometimes five members. Diverse committee composition is encouraged, ideally with one or more members specializing in general areas of research different from that of the candidate.

Dissertation Committee
Students identify their dissertation committees with the help of their advisor. The dissertation committee is typically the same committee as the Candidacy/Prospectus committee, and the guidelines for membership are the same as for the prospectus committee. The names of the committee members must be added to the relevant documents in CAESAR. This information helps the Department know whom to contact when it comes time for the prospectus and dissertation defense.

Any change in committee members must be approved by the advisor.

Courses
There are 7 required Core courses and 6 required Elective courses. Students must obtain a grade of C- or higher in each course for it to count toward their PhD. There is also an overall GPA requirement discussed here. It is strongly recommended that students discuss course choices with their advisor or the DGS.

Core Courses
The Core course requirements for the PhD program are as follows:

- One quarter of classical mechanics (Physics 411-0)
- Three quarters of quantum mechanics (Physics 412-1,2,3)
- Two quarters of classical electrodynamics (Physics 414-1,2)
- One quarter of statistical mechanics (Physics 416-0)

Electives
Students must complete six (6) elective courses. Four of these six electives must be completed by the end of the 7th quarter (Spring of the second year). Descriptions of these courses can be found here. Courses outside the department may

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be allowed to fill the Elective requirements. The DGS should be consulted before registering for courses outside the department.

Physics 590
This P/NP research course allows junior students to maintain full-time status while taking some time away from a full load of lectures courses in order to do research. This course is generally used in the first seven quarters of the PhD. It should also be taken by more senior students who have not yet completed nine Northwestern courses toward their degree. Students should register for enough units of this course to bring their number of courses up to three.

TGS 500
This P/NP research course is for senior students who are supported by an RA, TA/GA or Fellow. Supported students who are past their eighth quarter and who have completed more than nine courses toward their degree register for this course to maintain full-time status. This course carries zero units but provides full-time status.

Physics 499
This course allows students to work on a specific topic not covered by any lecture courses under the guidance of a faculty member of the Department of Physics and Astronomy. The student receives a letter grade (not P/NP) based on an evaluation conducted by the faculty member. This course carries the same credit as a lecture course. At most, half of the courses taken by a first-year student can be 499. This course is only rarely used by students in this department.

TGS 512
This option allows students to maintain full-time status in the event that they lose funding. It can also be used by advanced students who need to temporarily step away from any research or teaching responsibilities. Students registered for this course can continue to use university facilities. When registered for TGS 512 students are able to purchase the Northwestern Student Health Insurance plan, but are not eligible to receive the health insurance subsidy. Students taking this course cannot be registered for any other TGS course.

Core Course Competency
The six Core courses must be completed by the end of Q3. Students receiving less than a 3.0 GPA in their core courses will be required to take a special oral exam. This exam will emphasize subjects where the student obtained weak grades and will assess whether the student has sufficient grasp of core physics knowledge to complete a strong Ph.D. The exam will be administered near the end of Q4 by a committee chosen by the Director of Graduate Studies and the Department Chair. The committee reports the student’s performance to the Department faculty early in Q5, and the faculty together determine whether the student passed the exam. Students failing the exam will be deemed to be in poor academic standing and will leave the program at the end of Q5.

Teaching Requirements
Students serve as Teaching Assistants (TA) for three quarters (Fall, Winter and Spring) in their second year. In most cases, TAing provides the source of funding during such quarters. It also provides a chance to work with undergraduate students and helps build speaking and teaching skills. TGS requires all PhD students to teach for at least one quarter before graduating.

The TA position has an English-language requirement for students whose native language is not English. If a student is an international student and has not received a degree from an English-speaking institution, he/she must pass either the
VERSANT test or the SPEAK test to be eligible for a Teaching Assistantship. Please see the International Students section below.

More information on Teaching Assistantships from TGS.

Candidacy Exam and Prospectus
The Candidacy Exam consists of an oral presentation by the student demonstrating mastery of concepts in his/her research field. The presentation is often organized around any research progress to date. The Candidacy Exam is administered by the student’s Candidacy/Prospectus committee and must be passed by the end of the 3rd year. If the Candidacy Exam is not completed by this point, TGS will place the student on Academic Probation.

The Prospectus is a written proposal describing the student’s proposed PhD research. The Prospectus length varies from one paragraph to several pages, depending on requirements of the student’s research group. The Prospectus is typically completed prior to the Candidacy Exam and discussed in the same session, at which point it is approved by the committee. In cases where the Prospectus is not complete by the time of the Candidacy Exam, the committee may require a later oral presentation of the Prospectus material. The Prospectus must be completed and approved by the end of the 4th year. If the Prospectus is not completed by this point, TGS will place the student on Academic Probation.

Candidacy Exam Room Booking and Reporting
Please come to the Academic Office to book a room for the Candidacy Exam. The Graduate Program Assistant will ensure that the paperwork has been submitted successfully.

Prospectus Reporting
Students must access CAESAR and enter in the information for the Prospectus. Upon entering CAESAR, look for the PhD Prospectus tab.

Degree Completion and Graduation
TGS requires that PhD students complete all degree requirements before the end of the 9th year of study.

Writing the Dissertation
The dissertation is an original, scientific document ranging from 70 to 150+ pages. It may not be simply a summary of what others have done but rather must center on truly original scientific results. In most cases, the results have been or will be submitted for publication in a scientific journal.

Dissertation Defense
The defense presents the culminating opportunity for a candidate to present his/her work to his/her committee and to persuade the committee that a PhD has been earned. It is also the final opportunity for the committee to test the candidate’s grasp of fundamentals and of his/her ability to defend the quality of the work.

Physics and Astronomy thesis defenses include a closed-door session in which the committee examines aspects of the work in rigorous detail. Beyond the inclusion of a closed-door session of some duration, formats vary from group to group, with some groups having a public component and other groups having none.
Defense Deadlines
In order to provide sufficient time for thesis revision after the thesis defense, the defense date must be chosen to be at least 7 days in advance of any TGS deadline, job start date deadline, etc.

Students should contact their committee well in advance of the desired defense date in order to agree upon a specific date and arrange a schedule for submission of thesis material. Aligning schedules can sometimes be difficult, so it is recommended that the schedule be set at least three months in advance.

Paperwork
First, log on to CAESAR and fill out the Application for a Degree form.

(Main Menu > TGS Forms > Navigate to Application for a Degree Form)

Then, fill out the PhD Final Exam Form, also in CAESAR. (Main Menu> TGS Forms> TGS PhD Final Exam Form) The PA prints out a copy of this once it is completed and attaches it to the folder that the Advisor picks up on the day of the Defense. This form must be signed by all Committee members. The Advisor then returns it to the PA who enters the information into the system.

Submitting the Thesis Draft
A complete copy of the thesis must be submitted to the committee no less than 7 days before the scheduled defense date (and therefore at least 14 days before TGS or other external deadlines). At the time the schedule is originally established, committee members can notify the candidate that they will require more than 7 days, for example in order to accommodate travel constraints or particularly intensive review styles.

The submitted draft must be a polished and complete version that could credibly stand as a final thesis as submitted.

Booking a Room
Please come to the Academic Office (F165) and book a room.

Successful Defense and Editing
Assuming the Defense is successful, the student must finish all the edits requested by the committee. The advisor must approve the final version of the thesis before the Graduate Program Assistant enters in the completion of the degree. The student will receive an email from TGS stating that the degree form has been approved.

Submission of the Dissertation to ProQuest
The student must complete all required editing before the final submission of the Final Exam Form takes place. It is advised that all editing is completed as quickly as possible.

Once the editing is complete and the Advisor has approved the thesis, the student submits his/her Dissertation via the ProQuest website. The Dissertation must conform to TGS formatting standards found here. Formatting is reviewed by a Student Services representative who will inform the student if it is acceptable or if changes are needed.

Grade Changes
Any Y or K grades must be changed before a degree may be conferred.
Graduation
Hooding and Commencement take place once a year in mid-June. Students are invited to the ceremonies in June, without regard to the quarter of completion, as there are no other ceremonies throughout the year.

Usually, the advisor will do the hooding. However, another faculty member may be asked.

Obtaining a Master's Degree
Students who have completed all of the necessary courses with a cumulative GPA of at least 3.0 may request to receive a Master's degree. Most students who choose to leave the PhD program execute this option. Some students remaining in the PhD program also execute this option.

Satisfactory Academic Progress
GPA Requirement
The Department follows The Graduate School’s minimum GPA requirement of a 3.0. The Department follows The Graduate School’s minimum GPA requirement of a 3.0 for a student to be considered to be in good academic standing. There is also a grade requirement on each individual course for it to count for the PhD [here](http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html).

Academic Probation
Should a student’s GPA fall below 3.0, he/she will be place on Academic Probation and given two quarters to raise his/her GPA above the minimum. Students who are placed on probation by TGS ([http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html](http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html)) and who are unable to attain remediation during the probationary period will be dismissed from the program.

Failure to find a Research Group by the end of the 7th quarter is also grounds for being placed on Academic Probation.

Extending Academic Probation
The TGS policy regarding petitions for extensions of Academic Probation is straightforward. The student must submit a petition. The following criteria must be met:

- The specific length of the extension including the exact date by which the requirement will be met: the extension time frame should be realistic.
- A detailed rationale for the extension
- A detailed timeline for meeting the new deadline including what work remains to be completed and the specific timeline, with proposed deadlines by which that work will be completed within the extension period
- A detailed letter of support for the extension from the Director of Graduate Study
- A detailed letter of support for the extension from the student's academic/research advisor

Academic Progress Reports
Each student’s academic progress must be reported annually by the student’s program to the student and to TGS. Each quarter, grades will be checked for substandard performance. Students must ensure that they are maintaining their grades and finishing their work in a timely manner. If they are having trouble doing so, they must work with their advisor and the DGS to resolve issues preventing inadequate performance.
Students can find their Academic Progress Reports in GSTS. This is also where students are expected to tell the Department about their progress. Advisors also report on progress in GSTS and students can find his/her thoughts there. It is vital that students report this, as this gives a good idea of where they are and how they will get to the next step.

**Dismissal Process**
The DGS and the Chair have the responsibility to decide whether a student is to be dismissed.

**Funding**
**First Year**
In the first Fall, Winter, Spring and Summer quarters, students are typically supported by a University Fellowship (UF) provided by the Department. This fellowship is detailed in the Department’s offer letter. Fellows receive a monthly stipend, health insurance, and a full tuition scholarship. Fellows are not normally assigned any departmental duties; however, it is expected that University Fellows will take advantage of the time provided by the fellowship to become involved in research and to attend seminars, colloquia and other departmental activities.

**Second Year**
During Fall, Winter and Spring quarters of their second year, students are usually supported as Graduate/Teaching in the academic year. Graduate/Teaching normally spend about 10-15 hours per week teaching undergraduate laboratories, leading discussion sections, grading, and tutoring. A small number of summer Graduate/Teaching is also available.

**Second Summer and Onward**
From the second summer on, students are supported through one of the following: a Research Assistantship or a Graduate/Teaching.

Fellows, RAs and GA/TAs vary, depending on the student's admissions offer and research group. If a student is granted financial support, he/she is immediately sent a letter specifying the precise details and amount of the award. There is a minimum stipend offered through TGS. The business office is the best place to check on this stipend.

**Payday**
Payday is the last working day of every month. [Information on Direct Deposit](#).

**Health Insurance**
All Northwestern students are required to maintain health insurance coverage. PhD students in the Physics program are eligible for a 100% subsidy of the health insurance cost if they enroll in the Northwestern student health insurance plan (NU-SHIP). Students will still be responsible for any copayments or deductibles. The Graduate School will apply the subsidy once students are registered fulltime (other than TGS 512 – Continuous Registration). The credit will appear on the student’s account. [Information on the Health Insurance Plan](#).

**Student Activity Fee**
This fee covers special services, including discounted CTA rides, gym membership and programs for students, among other things. It is required for all full-time enrolled Graduate School students, except for those enrolled in TGS 512, 513, 514, 506, 507 or 508.

More information about the Fee.
Students who are off-campus for research or studies may submit a waiver form.

Taxes (Domestic Students)
Students on fellowships should report the fellowship stipend to the IRS after the students make deductions for books/supplies (save receipts) and required fees.

The University will not withhold Federal or Illinois taxes from the fellowship stipend unless a request to the Payroll Office to withhold a specific dollar amount each month is made (i.e. $25/month) on the appropriate W-4 form and will not withhold taxes on the fellowship even if the student has filed the Federal or Illinois W-4 forms claiming any exemptions.

Teaching, Graduate Assistants and Research Assistants will need to complete W-4 Federal and Illinois withholding forms because the University is required to withhold taxes from stipend checks.

The IRS treats assistantships differently than fellowships--students are not permitted to deduct books/supplies and required fees from the amount that is reported to the IRS. The student’s entire earnings as a teaching/graduate assistant or research assistant will be reported to the IRS.

If a student registers for classes past the deadline given by TGS, Social Security contributions may be taken out. Please make sure registration is complete. The Graduate Program Assistant will send emails to ensure registration occurs.

TGS’ Information on Taxes is a very helpful site.

Conference Travel Grants
There are several sources of funding available. TGS provides Conference Travel Grants with a maximum value of $600. Students are eligible for two (2) grants total over the course of the program. They may only apply after they have completed three (3) quarters of the program. Applications must be submitted no later than 30 days prior to the beginning of travel.

To apply for the grants and to check additional rules on eligibility, please go to this TGS webpage.

If travel expenses surpass the above-listed amount, the remainder is paid for with research funds or advisors’ discretionary funds. Consult the advisor’s financial assistant or research administrator to check whether or not funding is available.

Before traveling, please consult the person in charge of finances for the Advisor’s group. He/she will be able to provide more options for pre-paying flights or conference registrations.

Questions about Finances
Tuition, Stipends, Fees
Any questions about tuition, stipends and fees are handled by the Financial Assistant (F151) or the Research Administrator (F151).
Expense Reports
The Faculty Program Assistant for each research group assists with expense reports for travel expenses, materials, and other expenses incurred. Please place completed reports in the file holder hanging on the cubicle wall of the Graduate Program Assistant’s desk in the Academic Office.

Supplies
Lab supplies are ordered by the Accounting Specialist (F155).
Office supplies are found in the Academic Office (F165).

Additional Funding Opportunities
More information about all types of fellowships and grants can be found here.

Department Staff Members
Director of Graduate Studies
Director of Graduate Studies - Professor Brian Odom

Academic Office (F165)
Department Chair - Professor Michael Schmitt
Director of Operations and Outreach/Assistant Chair - Dr. Emily Updegraff
Academic Coordinator - Gretchen Burnett
Faculty Program Assistants - Pam Villalovoz, Tina Hoff
Graduate Program Assistant - Bud Robinson

Business Office (F155)
Business Administrator
Research Administrator Accounting Specialist
Financial Assistant

The people filling the roles listed above may change. Please check the Department website for the most current staff members.

Any questions about the Department can be directed to the Graduate Program Assistant.

Department Activities
Students are expected to attend all colloquia, all seminars in their research area, and all Brown Bag Lunches. These events serve to broaden students' knowledge in a range of fields, demonstrate effective scientific communication, and aid in networking within and outside the department.

Every week, a bulletin will be posted and sent to the graduate email list that says when and where each event takes place.
Colloquium
On Friday, an invited speaker of renown gives an hour-long colloquium. After the presentation and the question-and-answer session, snacks and refreshments are served in F160.

Brown Bag Lunches
The Brown Bag Seminar is a bi-weekly seminar series held on Wednesdays during the lunch hour (12:00pm-1:00pm) with pizza available on a first-come-first-served basis. The seminars feature two speakers from the department, each giving talks that last 20-25 minutes with 5-10 minutes available for questions. The speakers are drawn from a combination of professors, post-docs, and graduate students and the level of the talks is aimed at a first-year graduate student.

Seminars
Regular and special seminars are offered in various sub-fields of physics and astronomy. These are announced each week in the departmental email bulletin.

Heilborn Lectures
The Department of Physics and Astronomy thanks George Heilborn (1935-2015), a graduate of Northwestern's physics program, for his generous support of the Heilborn Lectures at Northwestern University. We have benefited greatly from this program over the years. George Heilborn created this endowment in the memory of his parents, Walter and Christine Heilborn, in 2000 in order to strengthen the Physics program at Northwestern for the benefit of faculty, students, and other departments in the Chicago area.

There are several talks, lectures and chances to meet the speaker. Past speakers include Nobel Laureates, Professors, Physicists and Astronomers from the top laboratories in the world.

Graduate Student Council
Mission
The goal of the Physics & Astronomy Graduate Student Council (PAGSC) is to address important issues pertaining to Physics & Astronomy graduate students at Northwestern University. The Council provides a structure to organize student efforts, to receive input from the student body, and to work with the Physics & Astronomy department.

Activities
The main activity organized by the Council is the weekly Grad Student Coffee Hour (Mondays at 3PM in F160). Other activities include game nights, pizza nights and other ad hoc get-togethers.

Please contact the President of the Council for more information: PAGSC_President@u.northwestern.edu.

International Students
The Department has many students hailing from countries all over the world. The International Office is available by appointment for advising services and support programs.
Visa Regulations
International students who are not permanent residents are given an F-1 or J-1 Student Visa. This visa gives the right to
study here in the United States but does not confer working rights, so students may not hold a job aside from the
appointment as a PhD student.

The University helps apply for the visa and sends the Form I-20 papers. Bring the Form I-20 papers upon arrival in the
United States. Students may arrive up to 30 days before the start date listed on the Form I-20.

English-language Skills
International students are expected to have taken the TOEFL test or a suitable alternative. If the score on the speaking
portion of the TOEFL was lower than a 26, students must take the VERSANT speaking test in order to be cleared to be a
Teaching Assistant. Should a student fail that test, he/she is asked to take a different test called the SPEAK test.

Students may also enroll in English Language Programs. Please email elp@northwestern.edu for more information.

Course Registration
Foreign students on an F-1/J-1 visa must be registered for a minimum of three credits.

Foreign students who are not registered for other courses are required to register for TGS 512 in order to maintain their
F1/J-1 status, usually during the summer or during any leave taken. A minimum cumulative GPA of 3.0 is required to
qualify for TGS 512.

Fellowships for International Students
Information on fellowships for International Students can be found here.

Taxes
http://www.northwestern.edu/international/living-working/taxes-and-legal-matters/taxes/index.html

International Office
http://www.northwestern.edu/international/

Conflict Resolution, Leaves of Absense, and Counseling
The Department and TGS are committed to maintaining an environment that fosters student education and training and
have established the following guidelines for dealing with any type of conflict that might arise.

Conflicts Involving Discrimination and Harassment
Harassment, whether verbal, physical, or visual, that is based on race, color, religion, national origin, sex, sexual
orientation, gender identity, gender expression, parental status, marital status, age, disability, citizenship, or veteran status
is a form of discrimination. Discrimination and harassment complaints should be referred to the Office of Equal
Opportunity and Access. Information regarding Sexual Harassment is found below.

Office of Equal Opportunity and Access: http://www.northwestern.edu/hr/eeo/
Sexual Harassment
It is the policy of Northwestern University that no member of the Northwestern community - students, faculty, administrators, staff, vendors, contractors, or third parties - may sexually harass any other member of the community. For information or assistance regarding a sexual harassment complaint, please visit the University’s Sexual Harassment Prevention Office.

Conflicts Not Involving Discrimination, Harassment, or Sexual Harassment
When a conflict arises, whether with a student’s advisor, a fellow student, or someone else in the University, TGS recommends that students first talk to their DGS. One function of the DGS is to address student concerns and grievances and to be available when students are experiencing academic difficulty. If a student is unsure who his or her DGS is, please contact the department office (847-4913685) or TGS (847-491-5279).

If the DGS has a conflict of interest, the Chair of the student's department is the next resource. In the case that a student cannot or does not want to speak with anyone in the program or department, the next resource is TGS. In TGS, the Associate Dean for Student Affairs, William J. Karpus (wkarpus@northwestern.edu), handles student conflict issues and works directly with the academic school Associate Deans and faculty, as needed.

DGSs, department chairs, TGS staff, and TGS Deans can treat students’ concerns confidentially unless the concerns involve sexual harassment, discrimination, or a safety issue, in which case they are obligated to report the issue to the appropriate University office.

Leaves of Absence
More information on leaves of absence.

Counseling and Psychological Services
More information on Counseling and Psychological Services (CAPS).